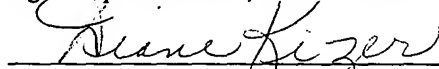




COPY

Atty Dkt SABI-008/04US  
S14-US2  
PATENT

I hereby certify that this correspondence is being transmitted by facsimile addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number (703) 305-3014 on April 4, 2003.

  
Diane Kizer

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

WOLFFE, et al.

Group Art Unit: 1636

Serial No.: 10/084,826

Examiner: William O. Sandals

Filing Date: October 24, 2001

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

**SECOND REQUEST FOR A CORRECTED FILING DATE**

Office of Initial Patent Examination's Filing Receipt Corrections  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This application was originally filed October 24, 2001 under Express Mail Label No. EL 873 907 305 US. The correct filing date should be October 24, 2001 as evidenced by the following documents (previously submitted on March 25, 2002 and October 28, 2002):

1. Copy of the Application Transmittal;
2. Copy of the Application Title Page;
3. Copy of the original postcard;
4. Copy of the Docket Log for October 24, 2001;
5. Copies of the Express Mail Label and Express Mail Corporate Account

Statement; and

6. Copy of a Status Inquiry and Change Of Correspondence Address submitted on October 28, 2002.

RECEIVED  
APR 18 2003  
TECH CENTER 1600/2900

COPY

Atty Dkt SABI-008/04US  
S14-US2  
PATENT

In view of the supporting documentation, Applicant's undersigned representative respectfully requests a Corrected Filing Receipt indicating the proper filing date of October 24, 2001.

It is believed that no fee is due. If for any reason a fee is found to be necessary, our Deposit Account No. 03-3117 may be charged therefor.

Respectfully submitted,

Date: April 4, 2003

By: Dahna S. Pasternak

Dahna S. Pasternak  
Registration No. 41,411

Cooley Godward LLP  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, California 94306-2155  
Phone: (650) 843-5000  
Fax: (650) 857-0663



COPY

RECEIVED BY THE U.S. PATENT AND TRADEMARK OFFICE:

DOCKET NO./ATTY/ASST. 8325-0014.20/DSP/njm

TITLE	TARGETED MODIFICATION OF CHROMATIN STRUCTURE
INVENTORS	Wolffe et al.
SERIAL NO.	10/084,826
DATE MAILED	October 28, 2002
DOCUMENTS	STATUS INQUIRY AND CHANGE OF CORRESPONDENCE ADDRESS (in duplicate).

RECEIVED  
APR 18 2003  
TECH CENTER 1600/2900

RECEIVED BY THE U.S. PATENT AND TRADEMARK OFFICE:

DOCKET NO./ATTY/ASST. 8325-0014.20/DSP/njm

TITLE	TARGETED MODIFICATION OF CHROMATIN STRUCTURE
INVENTORS	Wolffe et al.
SERIAL NO.	10/084,826
DATE MAILED	October 28, 2002
DOCUMENTS	STATUS INQUIRY AND CHANGE OF CORRESPONDENCE ADDRESS (in duplicate).



COPY

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that these papers are being deposited with the U.S. Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on October 28, 2002, in Menlo Park, CA.

*Agnella*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	Examiner: Not Assigned
WOLFFE et al.	)	Group Art Unit: 1645
For: TARGETED MODIFICATION OF	)	Customer No.: 20855
CHROMATIN STRUCTURE	)	
Serial No.: 10/084,826	)	<u>STATUS INQUIRY AND CHANGE</u>
Filed: October 24, 2001	)	<u>OF CORRESPONDENCE</u>
Atty. Docket No.: 8325-0014.20	)	<u>ADDRESS</u>

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicant hereby inquires into the status of the above application. Applicants filed a Request for Corrected Filing Date on March 25, 2002, however, to date, has not received a corrected Filing Receipt or other communication from the PTO in connection with this application.

Please direct all future communications regarding the above application to:

Dahna S. Pasternak  
ROBINS & PASTERNAK LLP  
545 Middlefield Road, Suite 180  
Menlo Park, CA 94025

No fees are due with this communication. The Commissioner is authorized to charge any fees which may be required under 37 C.F.R. §1.16, §1.17, or §1.21, to Deposit Account No. 18-1648, referencing Atty. Docket No. 8325-0014.20. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By: *D. Pasternak*

Dahna S. Pasternak  
Registration No. 41,411

ROBINS & PASTERNAK LLP  
545 Middlefield Road, Suite 180  
Menlo Park, CA 94025  
Tel.: (650) 325-7812  
Fax: (650) 325-7823



Rev. 02/27/2003

1645

Attorney Docket No. SABI-008/04US (S14-US2)

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on April 9, 2003.

By: Heine Kizer

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

WOLFFE et al.

Examiner: Unassigned

Serial No.: 10/084,826

Art Unit: 1645

Filed: October 24, 2001

Title: **TARGETED MODIFICATION OF CHROMATIN STRUCTURE**

Docket No.: S14-US2

**RECEIVED**  
APR 18 2003  
TECH CENTER 1600/2900

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF RESPONSE**

Enclosed are the following documents prior to the mailing if a substantive Office Action for the above-identified application:

- ☒ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☐ Request for Approval of Drawing Changes
- ☐ Information Disclosure Statement
- ☐ Notice of Appeal
- ☐ Associate Power
- ☐ Revocation and New Power
- ☐ Change of Address
- ☒ Return receipt postcard
- ☐ Check No. \_\_\_ in the amount of \$     for the total fee as calculated below
- ☐ Other:

**BEST AVAILABLE COPY**



COPY

EXPRESS MAIL LABEL NO. 973 907 305 US  
ATTORNEY DOCKET 8325-0014.20 DATE 24 October 2001

APPLICANT: WOLFE et al.

TITLE: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

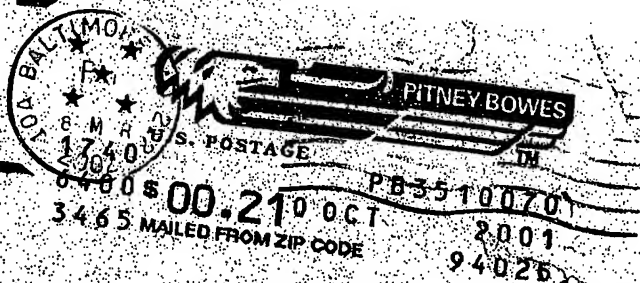
PAPERS ENCLOSED:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> SPECIFICATION <u>93</u> PAGES        | <input type="checkbox"/> ASSIGNMENT & REC CVR SHEET                                       |
| <input checked="" type="checkbox"/> CLAIMS <u>7</u> PAGES                | <input type="checkbox"/> CERTIFICATE OF EXPRESS MAIL                                      |
| <input checked="" type="checkbox"/> ABSTRACT <u>1</u> PAGES              | <input type="checkbox"/> VERIFIED STATEMENT   |
| <input checked="" type="checkbox"/> DRAWINGS <u>7</u> PAGES              | <input type="checkbox"/> PRELIMINARY AMENDMENT  |
| <input checked="" type="checkbox"/> DECLARATION <u>(initialed)</u> PAGES | <input type="checkbox"/> BLANKET PETITION   |
| <input type="checkbox"/> FILING FEE CHECK <u>\$</u>                      | <input type="checkbox"/> SEQUENCE LISTING <input type="checkbox"/> DISK                   |
| <input type="checkbox"/> IDS: PTO 1449                                   | <input type="checkbox"/> REFERENCES   |
| <input checked="" type="checkbox"/> TITLE PAGE                           | <input type="checkbox"/> STATEMENT TO SUPPORT   |
|  | <input checked="" type="checkbox"/> TRANSMITTAL; REQUEST TO USE<br>COMPUTER READABLE FORM |



RECEIVED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
MAR 12 2002  
ROBINS & PASTERNAK LLP



ROBINS & ASSOCIATES  
ATTORNEYS AT LAW  
90 MIDDLEFIELD ROAD, SUITE 200  
MENLO PARK, CA 94025

RECEIVED  
APR 18 2003  
TECH CENTER 1600/2903

The fee has been calculated as follows:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Total Claims		- 20 =		x \$18.00	
Independent Claims		- 3 =		x \$84.00	
If multiple dependent claims are presented, add \$280.00					
Total Amendment Fee					
If small entity status is applicable, subtract 50% of Total Amendment Fee					
Other fees: (specify)					
<b>TOTAL FEE DUE</b>					

☐ A check for the total fee is attached.

☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 9 April 03

Cooley Godward LLP  
ATTN: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663

Respectfully submitted,  
COOLEY GODWARD LLP

By:

Dahna S. Pasternak  
Dahna S. Pasternak  
Reg. No. 41,411



Original Filing  
Oct 24, 2001

Atty Dkt No. 8325-0014.20  
Client No. S14-US2

## APPLICATION TRANSMITTAL LETTER

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

EL873907305US

Sir:

- ☒ "Express Mail" Mailing Label No. EL 873 907 305 US, Date of Deposit 24 October 2001.  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.110 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

PATRICIA K. AMORIM  
(Typed or Printed Name of Person Mailing Paper or Fee)

Patricia K. Amorim  
(Signature of Person Mailing Paper or Fee)

Transmitted herewith for filing is the patent application of Alan P. WOLFFE (deceased), Trevor COLLINGWOOD and Andrew SNOWDEN for TARGETED MODIFICATION OF CHROMATIN STRUCTURE, which is a continuation-in-part of U.S. patent application serial no. 09/844,508, filed April 27, 2001, which in turns claims the benefit of provisional application serial no. 60/200,590, filed April 28, 2000, and of provisional application serial no. 60/228,523, filed August 28, 2000.

Enclosed are:

- ☒ 7 sheets of drawings.
- ☐ A claim for foreign priority under 35 U.S.C. § 119/363 in  
\_\_\_ a separate document \_\_\_ the declaration.
- ☒ A claim for priority under 35 U.S.C. § 119(e)(1) in  
\_\_\_ a separate document ☒ the declaration.
- ☐ A certified copy of the priority document.
- ☒ Applicant claims small entity status.
- ☒ paper copy of Sequence Listing
- ☒ Other: Title page; Request to Use Computer-Readable Form from Another Application;  
return receipt postcard.

The declaration of the inventor ☒ is enclosed ☒ unsigned.

RECEIVED  
APR 18 2003  
TECH CENTER 1600/2900

COPY

The fee has been calculated as follows:

A. Basic Application Fee		\$740
B. Total Claims $73 - 20 = 53$	x \$18	\$954
C. Independent Claims $4 - 3 = 1$	x \$84	\$84
D. If multiple dependent claims present, add	\$280	0
E. Total Application Fee (Total of A, B, C, & D)	=	<u>\$1778</u>
F. If small entity status is claimed, reduce Total Application Fee by 50%		\$889
G. Application Fee Due (E - F)	=	<u>\$889</u>
H. Assignment Recording Fee of \$40.00 if assignment document is enclosed	\$40	<u>NA</u>
I. TOTAL FEE (G + H)		\$889

Respectfully submitted,

Date: Oct 24, 2001

By: Dahna S. Pasternak  
Dahna S. Pasternak  
Registration No. 41,411

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: 650-325-7812  
Facsimile: 650-325-7823



COPY

"Express Mail" Mailing Label No. EL 873 907 305 US  
Date of Deposit 24 October 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Patricia K. Himmelfarb  
Typed or Printed Name of Person Mailing Paper or Fee

Patricia K. Himmelfarb  
Signature of Person Mailing Paper or Fee

Application for U.S. Letters Patent Entitled

TARGETED MODIFICATION OF CHROMATIN STRUCTURE

claiming priority to 09/844,508 filed April 27, 2001, which claims the benefit of 60/200,590 filed April 28, 2000, and of 60/228,523 filed August 28, 2000.

by Inventors:

Alan P. WOLFFE  
Trevor COLLINGWOOD  
Andrew SNOWDEN

ROBINS & PASTERNAK LLP  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: 650-325-7812  
Facsimile: 650-325-7823

Attorney Docket No. 8325-0014.20  
Client ref: S14-US2

RECEIVED

APR 18 2003

TECH CENTER 1600/2900